

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/765,061 | 01/17/2001 | Melanie M. Sohocki | 25630/16UTL |

23873
ROBERT W STROZIER, PLLC
2925 BRIARPARK, SUITE 930
HOUSTON, TX 77042

CONFIRMATION NO. 7715

FORMALITIES LETTER



OC000000006011168

Date Mailed: 04/26/2001

5/26/01

6/26/01

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$503.
 - \$63 for 7 total claims over 20.
 - \$440 for 11 independent claims over 3.

PATENT & TRADEMARK
OFFICE
DIVISION OF PATENT
ADMINISTRATION
R W STROZIER PLLC
DOCKETED

The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 923.

This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and,

01 FC:201
02 FC:205
03 FC:202
04 FC:203
07/02/2001 KENDIE 0900053-09765061
355.00 DP
65.00 DP
440.00 DP
63.00 DP

where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825 (b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

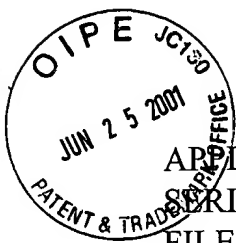
A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

PATENT & TRADEMARK
OFFICE
JUN 25 01
PATENT TRAINING
DIVISION



Seater \$/

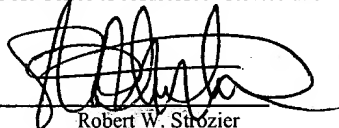
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: **Sohocki, M., et.al.**
SERIAL NO.: **09/765,061**
FILED: **01/17/01**

§ ART UNIT NO.: **1645**
§ EXAMINER: **UNKOWN**
§ DOCKET NO.: **25630/16UTL**
§
§
§
§
§
§

TITLE: **Mutations in a Novel
Photoreceptor-Pineal Gene on 17p
Cause Leber Congenital Amaurosi
(LCA4)**

PRO 4/06
15/06
6-3002

| CERTIFICATE OF MAIL BY EXPRESS MAIL | |
|---|---|
| <u>EL 813 584 157 US</u> | <u>June 25, 2001</u> |
| Express Mail Number | Date of Deposit |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the: | |
| Assistant Commissioner of Patent | |
| BOX MISSING PARTS | |
| Washington, D.C. 20231 | |
|  Robert W. Strozier | <u>June 25, 2001</u> Date of Signature |

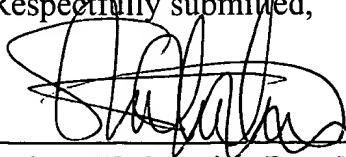
RESPONSE TO THE NOTICE TO FILE MISSING PARTS

Dear Sir:

The Applicant hereby responds to the **Notice to File Missing Parts** of the **Nonprovisional Application** of **April 26, 2001**, and submits the following documents:

- (1) **Copy of Notice to File Missing Parts of the Nonprovisional Application** included with a **check** in the amount of **\$923.00** for the filing fee and surcharge;
- (2) **Declaration or Oath**
- (3) **Sequence Listing**, Printed Copy and Diskette
- (4) **Post Card.**

Respectfully submitted,



Date: **June 25, 2001**

Robert W. Strozier, Reg. No. 34,024
Attorney for Applicants

RECEIVED
JUN 25 01
PATENT & TRADEMARK
OFFICE

RECEIVED
JUN 29 2001
OIPE/JCWS